

REPUBLIC OF CÔTE D'IVOIRE



Union-Discipline-Labor

Ministry of Hydraulics, Sanitation and Hygiene

**SUSTAINABLE AND INCLUSIVE DEVELOPMENT PROJECT FOR
SECONDARY CITIES**

P177062

**ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

Negotiated

March 3, 2023

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Cote d'Ivoire ("Recipient") shall implement the Sustainable and Inclusive Secondary Cities Project (P177062) (hereinafter the Project), under the leadership of the Ministry of Hydraulics, Sanitation and Hygiene as set out in the Financing Agreement. The International Development Association ("Association") has agreed to provide financing for the Project, as set out in the referred agreement (Financing Agreement).
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Financing Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring, and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESSs, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
4. As agreed by the Association and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient through the Ministry of Hydraulics, Sanitation and Hygiene and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the Recipient. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A.	<p>REGULAR REPORTING:</p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism including facilitating the sensitive resolution of Project-related sexual exploitation and abuse and sexual harassment (SEA/SH) complaints.</p>	Quarterly reports to the Association starting from the Effective Date throughout Project implementation.	Project Coordination Unit (PCU)
B.	<p>INCIDENTS AND ACCIDENTS:</p> <p>Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of SEA/SH, and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Association’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Association no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the Association within a timeframe acceptable to the Association.</p>	PCU
C.	<p>CONTRACTORS' MONTHLY REPORTS</p> <p>Require contractors and supervising firms to provide monthly monitoring reports on ESHS performance in accordance with the ESHS metrics specified in the respective bidding documents and contracts and submit such reports to the Association.</p>	Submit the monthly reports to the Association upon request and as annexes to the reports to be submitted under action A above, throughout the Project implementation.	PCU
EES 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Maintain the Project Coordination Unit (PCU) of the Urban Sanitation and Resilience Project (P168308) which will be staffed with qualified personnel and resources to support management of ESHS risks and impacts of the Sustainable and Inclusive Secondary Cities Development Project, including an environmental specialist (with strong health and safety</p>	One month after the project effective date, the mandate of the Sanitation and Urban Resilience Project PCU shall be expanded to include the management of the Sustainable and Inclusive Secondary Cities Project, and the contracts of the PCU staff working on the Project shall be amended as needed to cover their services in	Ministry of Hydraulics, Sanitation and Hygiene (MINHAS)

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	skills), a social development specialist, and a gender specialist who will also address SEA/SH and stakeholder engagement.	<p>connection with the Project for a term commensurate with the period of implementation of the Project. mandate</p> <p>Three (3) months after the effective date, additional staff (including an environmental specialist, a social development specialist, and a gender specialist) will be recruited to strengthen the PCU and maintained throughout the implementation of the project, as outlined in the Financial Agreement.</p>	
1.2	<p>MANAGEMENT TOOLS AND INSTRUMENTS</p> <p>1. Prepare, consult, adopt, disclose, and thereafter implement an Environmental and Social Impact Assessments (ESIAs), Environmental and Social Impact Statements (ESISs), and corresponding Environmental and Social Management Plans (ESMPs), and for investments aimed at improving existing public spaces and facilities related education, health, sport, and leisure (e.g., schools, health centers, recreational centers, and latrines); medium and large-scale urban infrastructure (roads, water, electricity, drainage, sanitation, solid waste management), and economic infrastructure (e.g., markets, artisanal transformation units for local agricultural products, urban farming, vocational training, support to establish local business), consistent with the relevant ESSs.</p> <p>2. Prepare, update, consult, adopt, disclose, and thereafter implement an Environmental and Social Management Framework (ESMF), a Resettlement Policy Framework (RPF), Resettlement Action Plan(s) (RAP), Labor Management Procedures (LMP), a Stakeholder Engagement Plan (SEP), a Security Management Plan (SMP), and a SEA / SH Prevention and Management Action Plan, consistent with the relevant ESSs.</p> <p>3. The proposed subprojects and/or activities described in the exclusion list set out in the ESMF shall be ineligible to receive financing under the Project.</p>	<p>1.Prepare, consult, adopt and disclose site specific Environmental and Social Impact Assessments (ESIAs) / Environmental and Social Impact Statements (ESISs) and/or corresponding Environmental and Social Management Plans (ESMPs) before launching the bidding process for the respective subproject and prior to the start of any activity, and thereafter implement ESMPs throughout Project implementation.</p> <p>2. The ESMF and RPF were prepared, consulted, adopted, and disclosed on January 12, 2023, and will be implemented throughout Project implementation. The LMP and SEP were prepared, consulted, adopted, and disclosed on December 14, 2022, and will be implemented throughout Project implementation. A draft SEA / SH Prevention and Management Action is included in the disclosed ESMF. The Plan shall be refined, disclosed, consulted upon, and adopted no later than 120 days after the Effective Date.</p> <p>3. Throughout Project implementation.</p>	PCU
1.3	MANAGEMENT OF CONTRACTORS AND SUPPLIERS		PCU

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	Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant E&S instruments, the Labor Management Procedures, and code of conduct, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.	As part of the preparation of procurement documents and respective contracts. Implement and maintain these measures throughout the Project implementation period Supervise contractors throughout Project implementation.	
1.4	TECHNICAL ASSISTANCE Ensure that the consultancies, studies (including feasibility studies), capacity building, training, including, inter alia, urban planning and land administration and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Association, and that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference and are consistent with the ESSs.	Throughout Project implementation.	PCU
1.5	CONTINGENT EMERGENCY RESPONSE FINANCING a) Ensure that CERC Manual includes a description of the ESHS assessment and management arrangements including CERC-ESMF that will be referred to in the CERC Manual for the implementation of CERC component of the Project in accordance with the ESSs. b) Adopt any environmental and social (E&S) instruments which may be required for activities under CERC component of the Project, in accordance with the CERC Manual and CERC-ESMF and the ESSs, and thereafter implement the measures and actions required under said E&S instruments, within the timeframes specified in said E&S instruments.	a) The adoption of the CERC Manual and CERC-ESMF, as relevant in form and substance acceptable to the Association is a withdrawal condition under Section D of Schedule 2 of the Financing Agreement for the Project. b) Adopt any required E&S instrument and include it as part of the respective bidding process, if applicable, and in any case, before the carrying out of the relevant Project activities for which the E&S instrument is required. Implement the E&S instruments in accordance with their terms, throughout Project implementation.	PCU
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	LABOR MANAGEMENT PROCEDURES Prepare, consult, update (as needed), adopt, disclose, and implement the Labor Management Procedures (LMP) for the Project, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response),	The LMP were prepared, consulted, adopted, and disclosed on December 14, 2022, and will be implemented throughout Project implementation.	PCU

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	code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.		
2.2	GRIEVANCE MECHANISM FOR PROJECT WORKERS Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.	Establish grievance mechanism prior to engaging Project workers and thereafter maintain and operate it throughout Project implementation.	PCU
ESS 3: RESOURCES EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	WASTE MANAGEMENT PLAN The Recipient shall ensure that the companies or contractors of the Project develop and implement a waste and hazardous materials management plan (for common and specific waste) in all facilities of the site, consistent with ESS3.	Before the start of the work and maintained and implemented throughout the implementation of the Project.	PCU
3.2	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT: The Recipient shall ensure, as part of the environmental and social assessment of each sub-project, that all sources of pollution (liquid, solid and gaseous) are identified and analyzed and that appropriate specific mitigation measures are developed and implemented, consistent with ESS3. The Recipient shall ensure the application of cleaner production principles when designing and carrying out the Project activities to conserve raw materials, energy, and water, as well as other resources, consistent with ESS3.	Before the start of the work and during the implementation of the Project	PCU
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	TRAFFIC AND ROAD SAFETY Adopt and implement measures to manage traffic and road safety risks as required in the ESMPs to be developed under action 1.2 above.	Same timeframe as for the adoption and implementation of the ESMPs.	PCU
4.2	COMMUNITY HEALTH AND SAFETY Assess and manage specific risks and impacts to the community arising from Project activities including, inter alia, risks of labor influx, spread of COVID-19 and security risks, and include mitigation measures in the ESMPs to be prepared in accordance with the ESMF and consistent with ESS4.	Same timeframe as for the adoption and implementation of the ESMPs.	PCU

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4.3	<p>SEA AND SH RISKS Prepare, consult, update (as needed), adopt, disclose, and thereafter implement a SEA/SH Action Plan to assess and manage the risks of SEA and SH.</p>	<p>A draft SEA / SH Prevention and Management Action is included in the disclosed ESMF. The Plan shall be refined, disclosed, consulted upon, and adopted no later than 120 days after the Effective Date.</p> <p>Once adopted, implement the Plan throughout Project implementation.</p>	PCU
4.4	<p>SECURITY MANAGEMENT The Recipient shall prepare, disclose, consult upon, adopt, and implement a stand-alone Security Management Plan (SMP) in accordance with the requirements of ESS 4, in a manner acceptable to the Association.</p> <p>Assess and implement measures to manage the security risks of the Project, including the risks of engaging security personnel to safeguard project workers, sites, assets, and activities, as set out in the Security Management Plan, guided by the principles of proportionality and Good International Industry Practice (GIIP), and by applicable law, in relation to hiring, rules of conduct, training, equipping, and monitoring of such personnel.</p>	<p>The SMP shall be developed, consulted upon, and adopted before the carrying out of the Project activities, and thereafter implement the SMP throughout Project implementation.</p> <p>Before project activities are launched and thereafter implemented throughout Project implementation.</p>	PCU
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	<p>RESETTLEMENT POLICY FRAMEWORK: Prepare, consult, update (as needed), adopt, disclose, and implement a Resettlement Policy Framework (RPF) for the Project consistent with ESS 5.</p>	The RPF was prepared, disclosed, consulted, adopted, and disclosed on January 12, 2023, and will be implemented throughout the Project implementation.	PCU
5.2	<p>RESETTLEMENT PLANS Prepare update, consult, adopt, disclose, and implement a resettlement action plan (RAP) for each activity under the Project for which the RPF requires such RAP, and consistent with ESS5.</p> <p>The Project will use the national procedure led by the Ministry of Construction, Housing and Urban Development (MCLU), responsible of the compensation process in Cote d’Ivoire and of ensuring the composition of the bodies responsible for the implementation of the RAP. Nevertheless, the PCU shall hold the responsibility of ensuring the coordination of the government bodies, compliance with national legislation, compliance with ESS5 requirements including the grievance mechanism, as established in the RPF and in a manner acceptable to the Association.</p>	Submit the respective RAP for the Association’s prior review and no objection, adopt, and disclose the RAP, and once adopted, implement the respective RAP, including ensuring that before taking possession of the land and related assets, full compensation has been provided and displaced people have been resettled and moving allowances have been provided.	PCU

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	The RAP to be implemented will be the one cleared and published by the government and the World Bank. The Recipient shall confirm that all payments to be made under the corresponding RAP, if any, have been made in full prior to the implementation of project activities.		
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	BIODIVERSITY RISKS AND IMPACTS: (i) Ensure that ESIAs/ESISs assess potential impacts on biodiversity and habitats and include measures and actions to manage risks and impacts in the ESMPs in accordance with the mitigation hierarchy and consistent with ESS6, and (ii) implement these measures in a manner acceptable to the Association.	Prepare, consult, adopt, and disclose the ESIAs/ESISs before carrying out activities and thereafter implement the ESMPs throughout Project implementation.	PCU
ESS 7: INDIGENOUS PEOPLES/ SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
	This standard is not considered relevant as there are no Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities currently identified in the Project area. Should the presence of indigenous communities be confirmed through further screening during implementation, the necessary assessments, consultations, and instruments will be undertaken per the requirements of this standard.		
ESS 8: CULTURAL HERITAGE			
8.1	CULTURAL HERITAGE RISKS AND IMPACTS Adopt and implement measures to address cultural heritage risks and impacts in accordance with the guidelines of the ESMF prepared for the Project, and consistent with ESS8.	Same timeframe with the adoption and implementation of the ESMF.	PCU
8.2	CHANCE FINDS Describe and implement the chance finds procedures, as part of the ESMF and site-specific ESIAs/ESISs/ESMPs of the Project, consistent with ESS8. Ensure that all construction and rehabilitation contracts include a “Chance Find” clause which will require contractors to stop construction/rehabilitation in the event that cultural heritage sites are encountered during civil works and to implement the “chance find” procedures per the ESMF.	Chance find procedures described in the ESMF and site-specific ESIAs/ESISs/ESMPs. Implement the procedures throughout Project implementation.	PCU
ESS 9: Not applicable to the Project			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			

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10.1	<p>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION Prepare, disclose, consult, adopt, and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination, and intimidation. This shall include measures to consult the E&S instruments for the Project consistent with ESS10 and in a manner acceptable to the Association.</p>	The SEP was prepared, updated, consulted, adopted, disclosed on December 14, 2022, and will implemented throughout the implementation of the Project.	PCU
10.2	<p>PROJECT GRIEVANCE MECHANISM (GM) Establish, publicize, maintain, and operate an accessible grievance mechanism (GM), to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	Establish and operationalize the Project GM prior to carrying out project activities and maintain and operate the mechanism throughout Project implementation.	PCU
CAPACITY BUILDING SUPPORT			
The ESCP offers a training plan covering the topics presented below. The plan shall be adapted according to the needs during the implementation of the Project. This training plan aims to strengthen the capacity of the project's stakeholders.			
<p>Training on environmental and social standards -ESS 1: Assessment and management of environmental and social risks and impacts -ESS 2: Labor and working conditions (Occupational health and safety - OHS) -ESS 3: Resource efficiency and pollution prevention and management -ESS. 4: Community Health and Safety -ESS 5: Land acquisition, restrictions on land use and involuntary resettlement -ESS 6: Biodiversity conservation and sustainable management of living natural resources -ESS 8: Cultural heritage -ESS 10: Stakeholder engagement and information disclosure,</p> <p>The training shall target the following structures and actors: -Project Steering Committee</p>		Three months after the recruitment of environmental and social specialists	PCU

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<ul style="list-style-type: none"> -PCU (coordinator, head of focal cells, social safeguard specialist, environmental safeguard specialist) -Procurement Specialist - Ministerial authorities -NGOs working in the environmental and social fields in the Project areas -Technical structures - ANDE - Relevant territorial authorities. 		
<p>Occupational Health and Safety Training: Companies shall train all workers involved in Project activities, including safety officers, on occupational health and safety, first aid equipment, prevention of emergency situations and how to prepare for and respond to such situations.</p> <p>Companies shall also ensure that the workers of their subcontractors are trained on the same subjects.</p> <p>The training shall target the following actors:</p> <ul style="list-style-type: none"> - Companies - Companies workers (including subcontractors) - Consulting engineers or work control mission - PCU 	<p>Before the start of employment of newly recruited workers and those already working, training shall be provided once a quarter to ensure that all staff are trained.</p>	<p>PCU</p>
<p>Training on labor and working conditions</p> <ul style="list-style-type: none"> -Conditions of employment under national labor legislation and ESS 2 standards - Code of conduct for suppliers / service providers and subcontractors - Freedom of association - Rules on child labor and minimum working age. <p>The training shall target the following actors:</p> <ul style="list-style-type: none"> - Companies workers (including subcontractors if necessary) - Supervising engineers -NGOs working in the social field and intervening in the Project areas 	<p>Before the start of employment of newly recruited workers and for those already working, training shall be given once a quarter to ensure that all staff are trained.</p>	<p>PCU</p>
<p>Training on environmental and social management</p> <p>This training shall provide knowledge on:</p> <ul style="list-style-type: none"> - the process of environmental and social selection and classification of sub-projects 	<p>Three (3) months after the implementation of the Project and before the start of employment for newly recruited workers.</p>	<p>PCU</p>

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<ul style="list-style-type: none"> - the procedures for the organization and conduct of the ESIA and the RAP - environmental policies, procedures, and legislation in Côte d'Ivoire - the Implementation monitoring process of the ESMP and the RAP <p>The training shall target the following actors:</p> <ul style="list-style-type: none"> -PCU (Coordinator, Heads of Project Implementation Focal Points, Social Safeguard Specialist, Environmental Safeguard Specialist, Procurement Specialists) -Central and local technical structures -ANDE 	<p>In addition, training shall be provided once a year to ensure that all staff are trained.</p>	
<p>Training on the grievance resolution mechanism:</p> <ul style="list-style-type: none"> - Registration and processing procedure - Grievance resolution procedure - Documentation and handling of complaints - Use of the procedure by the various stakeholders <p>The training shall target the following actors:</p> <ul style="list-style-type: none"> -PCU (Coordinator, Heads of Project Implementation Focal Cells, Social and Environmental Safeguards Specialists, Procurement Specialist) -Technical structures -ANDE - Relevant territorial authorities -NGOs 	<p>Three (3) months after the implementation of the Project and before the start of employment for newly recruited workers.</p> <p>In addition, training shall be provided once a year to ensure that all staff are trained.</p>	<p>PCU</p>
<p>SEA / SH Risk Training</p> <ul style="list-style-type: none"> - Awareness and measures to prevent and mitigate the risks of SEA/SH - Themes, activities, and target audiences as defined in the SEA/SH Action Plan -Dissemination of the SEA/SH action plan (activities, target groups) <p>The training shall target the following actors:</p> <ul style="list-style-type: none"> -PCU (Coordinator, Heads of Project Implementation Focal Cells, Social Safeguard Specialist, Environmental Safeguard Specialist, Procurement Specialists) -Technical structures - ANDE - Relevant territorial authorities - NGOs 	<p>Prior to the start of employment of newly recruited workers and those already working, training shall be provided once a year to ensure that all staff are trained.</p>	<p>PCU/Gender Specialist</p>
<p>Training on risks and management during construction</p> <ul style="list-style-type: none"> - SEA/SH, child labor 		<p>PCU</p>

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<ul style="list-style-type: none"> - GM - including the SEA / SH related grievance mechanism - Compliance with the Code of Conduct clearly mentioning the prohibition of EAS/HS and penalties for misconduct, etc. - Pollution and damage during the Project works - Health and safety <p>The training shall target the following actors:</p> <ul style="list-style-type: none"> - Workers of contracting companies - Contract workers 	<p>Before the start of work and organize regular refresher sessions</p>	
<p>Information/awareness on potential environmental and social risks, including the SEA/SH risks of the Project, to elicit their commitment and participation in the identification of measures to minimize and mitigate the negative environmental and social risks and impacts related to the Project implementation.</p> <p>The training shall target local populations.</p>	<p>Before the start of work and throughout the implementation of the Project</p>	<p>PCU</p>